10/534,676

Rec'd PCT/PTO 1 8 OCT 2005

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification⁷: **H04Q 7/38**, 7/32

(21) International Application Number:
PCT/SE2003/001801

(22) International Filing Date:
19 November 2003 (19.11.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
0203438-7   20 November 2002 (20.11.2002)   SE

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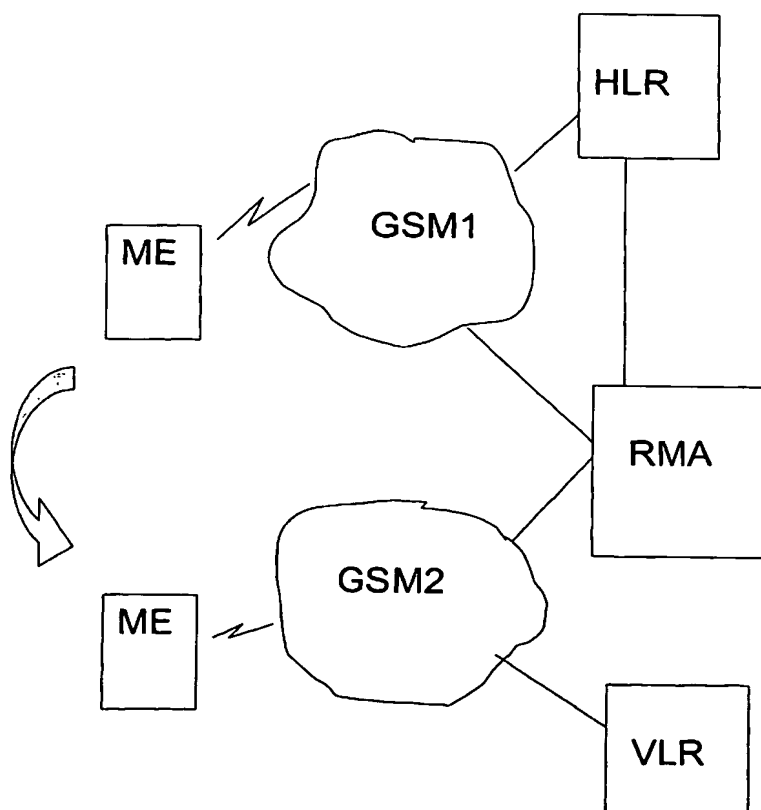(74) Agent: PAIVI SODERMAN/; Smarttrust Systemsy OY, P.O. Box 119, FI-00511 Helsinki (FI).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: METHOD AND SYSTEM FOR MANAGEMENT OF ROAMING MOBILE SUBSCRIBER

(57) Abstract: In the method of the invention for the management of roaming of mobile subscribers, which are roaming between a home network and foreign networks, the roaming behavior is based on the contents of control files saved in the mobile equipment of the subscribers. A list of networks to be used in a priority order in a roaming situation is defined in said control files. When a subscriber is roaming management application, which checks the current roaming setting for the subscriber and the desired roaming behavior for the location that the subscriber roamed into, and as a result of the comparison, when necessary, it adjusts the roaming setting to be in accordance with said desired roaming behavior.

WO 2004/047480 A1

WO 2004/047480 A1

METHOD AND SYSTEM FOR MANAGEMENT OF ROAMING MOBILE SUBSCRIBER

TECHNICAL FIELD

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The invention is concerned with a method and system for the management of roaming of mobile subscribers between the home network and foreign networks.

10 ## BACKGROUND ART

Roaming management covers the tools and processes used to control the roaming behavior for the subscribers in a mobile telecommunications network. When a subscriber leaves his home network and receives service from another 15 network, he is said to be roaming.

A subscriber can roam to networks with which the operator of the subscriber's home network has a roaming agreement. Operators tend to have roaming agreements with as many other network operators as possible. However, the 20 case is often that in any given roaming situation, there is one network that is preferred. This could be a network with which the operator has a better agreement and thus pays a lower price for its usage. It could also be a network that belongs to the same operator group as the home operator. The earnings for the subscribers' network usage would thus stay in the same company group if the 25 subscriber could use the preferred networks as much as possible. If the roaming behavior could be efficiently controlled and the subscribers be made to roam into the most preferred network at any given time, large savings could be achieved for the operator. It shall, however, be noted that the opinion on which is the most preferred network may change over time.

30

Roaming management is thus an important area for the operators to improve the revenue stream. Roaming management gives the operator improved control of what networks its subscribers roam into when the home network can not be reached. Controlling this behavior becomes increasingly important as alliances

5 are formed and the operator needs to manage this behavior on a continuous basis.

Roaming behavior is defined in the telecom standards and is controlled by data that is stored on the SIM (Subscriber Identity Module) card. The handset will

10 modify its roaming behavior based on the contents of the roaming control files on the SIM card.

One such standard, in which the mechanisms that control roaming behavior in the GSM/3G network are defined is TS 23.122 in release 99 version. According

15 to that standard, the roaming behavior is to a large extent controlled by two network selector files on the SIM card of the mobile phone. One of the files is the so-called subscriber-defined PLMN (Public Land Mobile Network) list and the other one is the operator-defined PLMN-list. Older versions of the standard define only one list.

20

When the phone shall select a network, it first looks for networks defined in the subscriber-defined list. Each network indicated by the subscriber-defined network selector file on the SIM is tried according to the priority order given in that file. If none of the networks listed in that file are possible to select, the phone tries the

25 networks that are listed in the operator controlled network selector file. If still none of these are possible to select, the phone shall randomly chose a network whose signal strength exceeds a threshold value. In reality, this random selection often selects the strongest network. If none of the above methods have succeeded, the phone tries all other networks in order of decreasing signal

30 strength. If the phone receives the information that a network is not allowed for roaming, the phone adds the network to the list of forbidden networks and will not

access this network while the phone remains in automatic network selection mode.

However, once the phone is roamed into a network, it will stay on that network. According to the above standard, another file on the SIM shall control how often the phone searches for a higher preference network.

The phone will periodically search for the home network as well as a higher preference non-home network to roam into. Since this periodic network re-selection attempts only consider network of the same country as the network to which the phone is currently registered, the home network will only be attempted when the subscriber is nationally roamed.

The network selection functionality described above is the one defined in TS 23.122 in release 99 version. The functionality is, however, not yet fully implemented in most of the existing phones. This pertains especially to the periodic network re-selection attempts. It is anticipated that future phones will start to support these periodically performed attempts to find a higher preference network.

The fact that most phones do not yet support the periodic network re-selection means that once a phone registers to a non-preferred network, it has a tendency to remain there until something extra-ordinary, like coverage loss, takes place.

Even if the phone is turned off and on, the phone will remember the last network it was roamed onto and will try to go back to that network again by reading the information from the (Location Information) LOCI file on the SIM card. Thus the subscriber remains even longer than needed on the wrong network.

Only if coverage is lost, the phone will search for and switch to another network, which might be a preferred network if that network has coverage.

If no network has coverage, the phone will not be able to register to any network. If the coverage then returns, at the same time, for the previous network and a preferred network, the phone will still tend to register back onto the previous network.

5

There are a number of mechanisms that are employed today in order to control the roaming behavior as described above. Some of these are described in the following and the weaknesses or short-comings of these are touched upon. All descriptions given assume that the phone performs network selection without

10 user interaction. When network selection takes places without user interaction, the phone is said to be operating in automatic network selection mode. If network selection is performed by the user, the phone is said to be operating in manual network selection mode. In manual network selection mode, it is in fact the subscriber that manually controls the roaming behavior. Consequences of being

15 in manual network selection mode will be discussed further down in the document.

The most basic form of Roaming Management is to define the contents of the roaming control files, i.e. the PLMN list or lists and the network search period, on

20 the SIM cards at the time of issuance. This becomes a static definition of preferred roaming networks.

An improvement to the static model is defined in the GSM 03.48 standard, in which the roaming control files are made updatable over the air. This enables

25 remote update of the roaming control files when price models, agreements and operator constellations change. It is also possible to update the whole subscriber base in this manner. If the operator so chooses, it is possible to limit the group to subscribers that are likely to be roamers.

30 Due to the reasons described above, the handling of roaming control files as described above is referred to as statistical roaming management since the

statistical chance that a subscriber will register with a preferred network is greatly
improved if the roaming control files are kept updated. The statistical roaming
management thus controls roaming by updating the roaming control files on the
SIM. Correctly used, the files can greatly improve the ratio of subscribers that
5   roam into the preferred networks. However, there is never any guarantee that a
subscriber will roam onto the preferred network.

The behavior of the statistical roaming management is non-intrusive for the
subscriber. The effects are only that a preferred network is chosen initially at
10   network selection or after the defined time period as described above. If no
preferred network is present, the subscriber stays on the current network.

If the update of the roaming control files is made before the subscriber enters a
roaming area, the phone will select the preferred network if it is present in the
15   area. If the files are updated after the subscriber enters the roaming area, and
the subscriber is in the wrong network, the subscriber is connected onto a
preferred network only if a loss of coverage of the current network takes place
and the preferred network is present.

20   Due to the above limitations, to the statistical roaming management, solutions for
so-called dynamic roaming management exist. In some of these solutions,
Dynamic Roaming Management uses active knowledge regarding a subscriber's
roaming state and tries to achieve a change in the current roaming situation. In
dynamic roaming management, the operator of a subscriber's home network
25   knows when the subscriber roams into a new network. If that is an undesired
network, a trigger is generated to a roaming server. The roaming server takes
update measures towards the subscriber's SIM to make the phone select a better
network. The dynamic roaming management is intrusive to the extent that since it
attempts to perform an active task of moving the operator from one network to
30   another.

In the dynamic roaming management scenario, a special roaming management application (RMA) monitors roaming events in the network, for example by interfacing to the HLR (Home Location Register). When the subscriber roams into a foreign network, the RMA is notified. If the subscriber roamed into a non-preferred network, attempts are made to dynamically move him to a preferred network. This behavior tends to lower the perceived quality of service for the subscriber and might therefore be undesirable from that aspect. Since the operator has a possibility of making money, a dynamic roaming management solution might be employed anyway.

Finally, it shall also be noted that the size of the roaming control file is always going to be limited. That means that it will never be possible to list all the preferred networks in this file.

THE OBJECT OF THE INVENTION

The object of the invention is to develop a dynamic roaming management method by avoiding the problems of prior art dynamic solutions.

SUMMARY OF THE INVENTION

In the method of the invention for the management of roaming of mobile subscribers, which are roaming between a home network and foreign networks, the roaming behavior is based on the contents of control files saved in the mobile equipment of the subscribers. A list of networks to be used in a priority order in a roaming situation is defined in said control files. When a subscriber is roaming from one network to another, information about the roaming is sent to a roaming management application, which checks the current roaming setting for the subscriber and the desired roaming behavior for the location that the subscriber

roamed into, and as a result of the comparison, when necessary, it adjusts the, roaming setting to be in accordance with said desired roaming behavior.

5   Optionally, the roaming management application changes the network search time interval depending on whether the subscriber roamed to a preferred or a non-preferred network.

Preferable embodiments of the invention are described in the following.

10 The invention is especially meant to be used in connection with the standardized content files stored in the Subscriber Identity module (SIM) of the mobile equipment in a 3G/GSM network.

This invention concerns a concept that has been named "dynamic hybrid roaming

15 management". The idea is to develop the possibilities discussed above and improve the total solution. Since the type of dynamic roaming behavior described above tends to be intrusive, the basic idea lies on leveraging a new method for a statistical roaming management. As time passes by, more and more phones are likely to support the newer version of the standard mentioned above. In that case,

20 the system can trust those phones to revert to a preferred network after a limited time.

The information about the roaming is sent to a roaming management application in the form of roaming triggers from the network in the same way as in the

25 dynamic prior art solution. These triggers can come from an HLR or another suitable network component. Alternatively, they are sent from the SIM or from an application on the SIM.

When the roaming management application (RMA) receives the trigger, it checks

30 whether the subscriber just roamed onto a preferred network. If the subscriber roamed into a non-preferred network, the RMA checks which network is preferred

8

and updates the control files accordingly by writing the preferred network into the list of networks and also by possibly lowering the time limit that controls how often the phone shall look for a preferred network. The RMA notes that it has performed a roaming control action for this subscriber. It then trusts the phone to

5   switch to the correct network by itself the next time a network search is done.

The functionality is possible to extend with an equipment database where IMEI (International Mobile station Equipment Identity) or IMEISV (International Mobile station Equipment Identity and Software Version Number) are used to identify the

10   phone. This database provides the RMA with information as to whether the phone supports the roaming behavior of the invention by connecting terminal capability information to the terminal identity and software versions. If not, it is possible for the server to revert to the old style dynamic roaming as defined in background art section. If the IMEI/IMEISV indicates that the phone does support

15   correct roaming behavior, RMA will not perform old-style roaming management.

If the subscriber roams into a preferred network, the RMA can see if it recently performed a management action towards that subscriber. If that is the case, the time period might be reset to a higher value again, i.e. the time interval for the

20   network search is extended.

Furthermore, based on what country the subscriber is roaming in, it is possible to update the roaming control network list on the SIM with specific networks that shall be activated when a subscriber roams to the current country. An example is

25   that the preferred networks of the neighboring countries can be added, according to definitions made by the operator. In this manner, roaming can be pro-actively used based on location, neighboring countries as well as subscriber specific usage patterns.

30   The described method also overcomes the problem of the limited size of the network lists by using it dynamically. It also removes the need of updating

subscribers that might not be roaming, because only roamers need to be updated.

In a world where more and more handsets become compliant to the above
5   standards, the mechanisms described above as statistical and dynamic roaming management can be combined so that the hybrid roaming management solution of the invention can be used in all mobile phones.

The hybrid solution of the invention uses only the non-intrusive mechanisms that
10 form the basis for the statistical roaming management. The basis is thus to ensure that SIM cards initially are either pre-personalized or personalized at point-of-sale with correct roaming control files. On top of that, remote update of roaming control files is employed as alliances form and so on.

15 Since a standard compliant phone does not need to be forced over to an alternate network, there is no need for the intrusive mechanisms normally used in dynamic roaming management. Instead the dynamic aspect can be used in a smarter manner.

20 Since the file sizes for the files that define preferred networks on the SIM are limited, it might not be possible to list every preferred network in these files. So the focus for initial personalisation of the data would be to cover most cases by a having a good initial list and to keep this updated.

25 When the network gets a trigger, or such a trigger is generated from the SIM-card, that a subscriber has roamed into an undesired network, it sends this information to the roaming server running a roaming management application. The roaming management application checks the system image of the subscriber's roaming control files.
30

If the image shows that the subscriber already has the preferred network, or networks, for the present country defined, no action would be taken. The system can assume that a best effort has already been made by the mobile to find the preferred network. Since that failed, the preferred network is probably not present. The handset will periodically attempt to find the preferred network.

If the system shows that the subscriber does not have the appropriate definition for preferred networks for the country where he currently is, the system will perform an update of these roaming control files. When the search period completes, the handset will search for the newly defined preferred network. Once again, the roaming management would operate unobtrusively for the subscriber.

In the following, the invention will be described by means of some preferred examples by means of figures. The invention is not limited to the details of the following presentations.

FIGURES

Figure 1 is a view of the environment, wherein the invention can be used.

Figure 2 presents a flow scheme of the method of the invention

DETAILED DESCRIPTION

Figure 1 presents a scenario, wherein a mobile subscriber with the mobile equipment ME moves from a first network GSM1 to another GSM2. In this embodiment, GSM1 is assumed to be the home network even if the invention equally well can be applied in a situation wherein the subscriber moves from one foreign network to another. HLR is the home location register of subscribers

belonging to network GSM1. The method of the invention is applied in a situation, wherein roaming of the ME from GSM1 to GSM 2 takes place, which is indicated with an arrow in figure 1.

5   The HLR is always aware of in which network the subscribers are. The roaming is managed by a roaming management application in a roaming server, which gets the information about the roaming situations of subscribers from e.g. the HLR.

10   When the ME has entered a new, foreign network GSM2, the subscriber is registered in the Visitor Location Register (VLR) of the foreign network and the HLR of the network GSM1 is updated. The HLR can now send the roaming trigger to the RMA. The roaming trigger could also be generated from another origin.

15   Figure 2 presents an overall flow scheme of the method of the invention. The SIM card of a subscriber has a roaming control file that defines a list of networks to be used in a priority order in a possible roaming situation. When the subscriber roams from one network to another (step 1of figure 2) he is connected to a network with the highest possible preference in said list. This means that the
20   network with the highest priority is tried first and if that network is not available, the next network in the list is tried and so on until a connection is achieved. The Home Location Register (HLR) immediately gets information of the roaming after which a trigger is sent to a Roaming Management Application (RMA) situated in the roaming server (Step 2 of figure 2). The RMA now checks the current
25   roaming setting (the priority list of networks) for the subscriber and compares it to the desired roaming behavior parameters for the location that the subscriber roamed into (in step 3 of figure 2).

RMA has fresh information about what network the operator desires to be chosen
30   in roaming situations. If step 4 of figure 2 shows that the network priority list was

not up-to-date for the given subscriber, and the desired roaming settings thus differed from the actual roaming settings, RMA adjusts the roaming settings (step 5 of figure 2) to be in accordance with the desired behavior by looking at subscriber related knowledge on how to adjust the roaming settings. If the actual
5   roaming settings and the desired roaming settings were identical, the settings do not need to be adjusted and, the process can directly proceed with step 6.

If the roaming, in fact, was made to the correct network (which is stated in step 6 of figure 2), RMA might, in the optional step 7 raise the value of the time
10 controlled network search period so that the search for a higher preference network is not perfomed as often (step 7 of figure 2). Analogously, it might lower the value of the time controlled network search period so that the search for a higher preference network takes place more often (step 8 of figure 2) if the roaming was not made to the correct network (which is stated in step 6 of figure
15 2).

As an optional step, the value of the time controlled network search period can be raised if the current roaming setting for the subscriber and the desired roaming behavior for the location that the subscriber roamed into were identical.
20

As a still optional step, the RMA might after step 3 of figure 2 check if the phone supports the roaming behavior as defined in TS 23.122 release 99. If not, RMA may apply old-style roaming statistic or dynamic management for that subscriber.

25 The described solution combines the positive parts of the old-style dynamic roaming and the statistical roaming. When compared with the old-style dynamic roaming, the proposed solution behaves in a non-intrusive way for the subscriber. The proposed solution still covers the need expressed by the old style dynamic roaming management by allowing the behavior to be controlled by terminal
30 capabilities in the system database by usage of IMEI/IMESV. This enables the proposed solution to choose the best method for the terminal in question.

Compared to the existing statistical roaming management, it reduces the amount of network traffic by updating the subscribers that really do roam rather than a batch of subscribers that might not be roaming.

5   By the dynamic behavior of the solution it also makes efficient usage of the network lists on the SIM by tailoring its contents to the regional area where the subscriber currently resides.

The system can be further refined by making use of more specific location
10   information to base the behavior on where in a specific network the subscriber currently is.

15

CLAIMS

1. Method for the management of roaming of mobile subscribers roaming between a home network and foreign networks, in which method the roaming

5 behavior is based on the contents of control files saved in the mobile equipment of the subscribers, the method comprising the following steps

   a) defining a list of networks into a control file of the mobile equipment of a subscriber to be used in a priority order in a roaming situation,

   b) the subscriber roaming from one network to another,

10   c) sending information about the roaming to a roaming management application,

   d) the roaming management application checking the current roaming setting for the subscriber and the desired roaming behavior for the location that the subscriber roamed into, and

15   e) as a result of the comparison, when necessary, adjusts the roaming setting to be in accordance with said desired roaming behavior.

2. Method of claim 1, c h a r a c t e r i z e d in that, it further comprises the step of changing the time controlled network search period.

20

3. Method of claim 1, c h a r a c t e r i z e d in that the value of the time controlled network search period is raised if in step b) the roaming was made in accordance with the desired behavior for the location that the subscriber roamed into.

25

4. Method of claim 1, c h a r a c t e r i z e d in that the value of the time controlled network search period is lowered if in step b) the roaming was not made in accordance with the desired behavior for the location that the subscriber roamed into.

30

5.   Method of claim 1, c h a r a c t e r i z e d in that the content files are stored in the Subscriber Identity module (SIM) of the mobile equipment.

6.   Method of claim 1, c h a r a c t e r i z e d in that the information in point c) is sent from the Home Location Register (HLR) or some other network component.

7.   Method of claim 1, c h a r a c t e r i z e d in that the information in point c) is sent from the SIM or from an application on the SIM.

8.   Method of claim 1, c h a r a c t e r i z e d in that as a further step, the RMA checks if the phone support the correct roaming behavior.

9.   Method of claim 8, c h a r a c t e r i z e d in that RMA may apply old-style roaming statistic or dynamic management for a subscriber, whose equipment does not support the correct roaming behavior.

. 10.   System for the management of roaming of mobile subscribers roaming between a home network and foreign networks, the system comprising
a)   a mobile subscriber equipment having control files stored, the contents of which decides the roaming behavior by means of a list of networks into to be used in a priority order in a roaming situation,
b)   a roaming management application with means for handling of roaming information taken place by the subscriber and means for updating the control files of the subscriber equipment on the basis of said information.
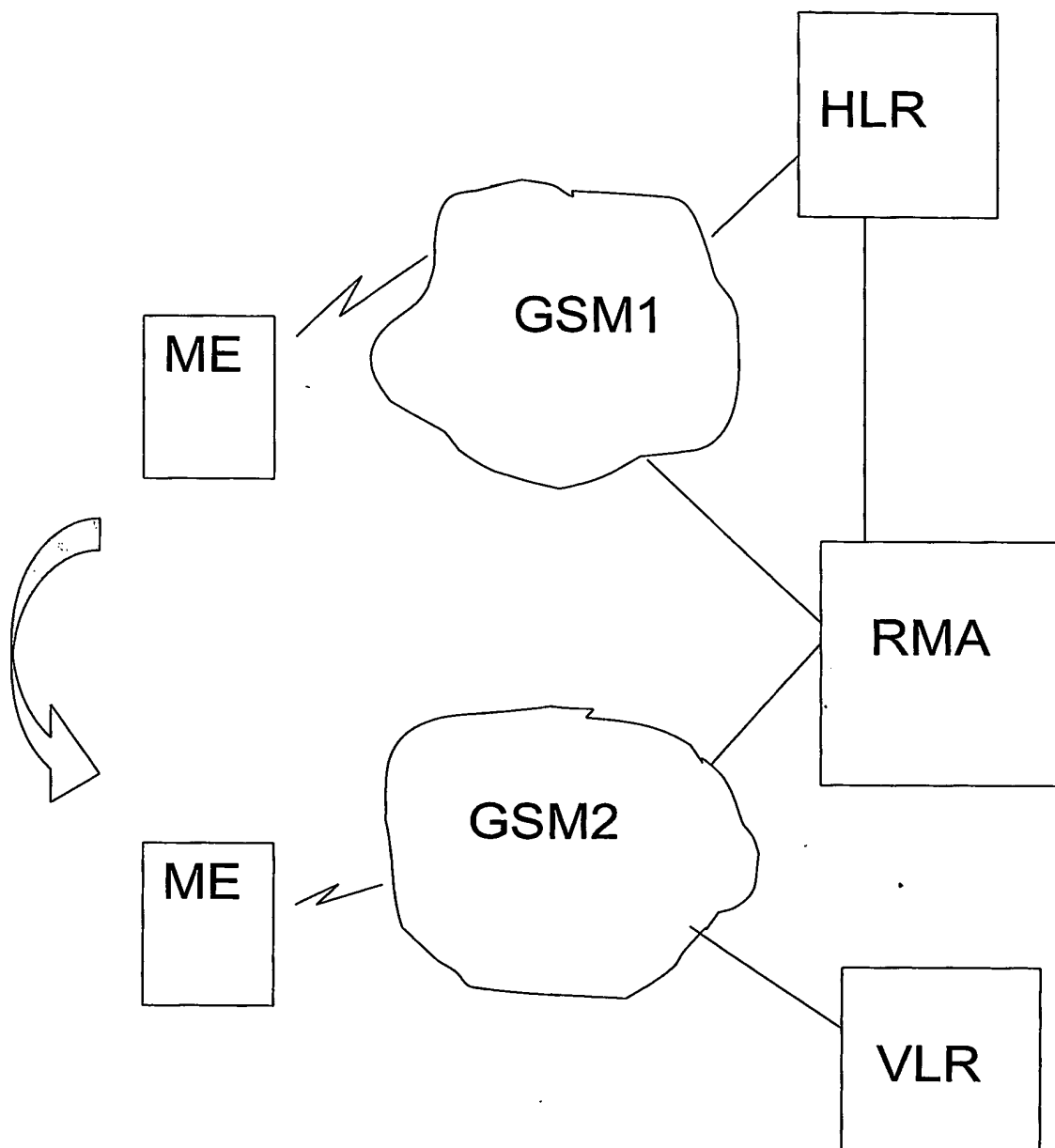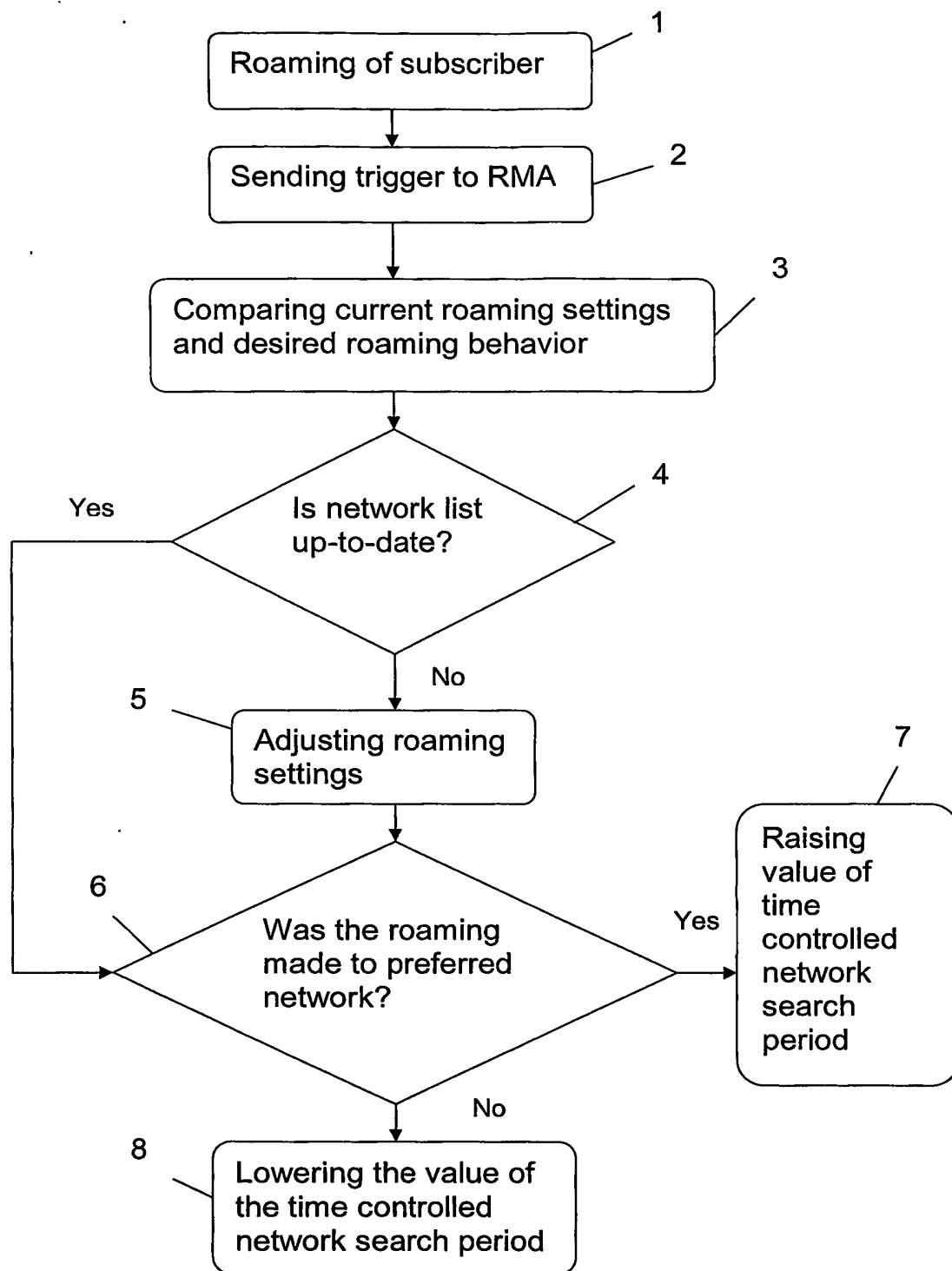
FIG.1

FIG. 2

INTERNATIONAL SEARCH REPORT

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7   H04Q7/38   H04Q7/32

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7   H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category°	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A	US 6 047 071 A (SHAH BHARAT) 4 April 2000 (2000-04-04) column 6, line 44 -column 7, line 55	1-10

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[X] Further documents are listed in the continuation of box C.   [X] Patent family members are listed in annex.

° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
18 February 2004	11 03. 2004

Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	CATHARINA KARLSSON/JAA

INTERNATIONAL SEARCH REPORT

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category°	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 999 811 A (MOELNE ANDERS) 7 December 1999 (1999-12-07) abstract	1-16

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